Wealthpoint Capital (Pty) Ltd
Company Reg No: 2015/155864/07

+27 (11) 017 7230
+27 (11) 081 6009

Postnet Suite #49, Private Bag X11
Birnam Park, 2015

Suite 4, 5 Fricker Road
Illovo, Johannesburg, 2196

info@wealthpointcapital.com
www.wealthpointcapital.com

# STATUTORY DISCLOSURE NOTICE / LETTER OF INTRODUCTION

Wealthpoint Capital (Pty) Ltd is an authorised Financial Services Provider ("FSP") in terms of the Financial Advisory and Intermediary Services Act ("FAIS").

1. Full business and trade names of FSP: Wealthpoint Capital (Pty) Ltd hereafter referred to as WPC.

2. Name, contact number and concise details of the legal and contractual status of the key individual or representative:

   - Stephen John Stapylton-Smith is employed by the FSP to render financial services on behalf of the FSP as a key individual and representative in Johannesburg - 082 804 8602
   - Adam Michael Turk is employed by the FSP to render financial services on behalf of the FSP as a key individual and representative in Cape Town - 079 5242932
   - Jan Johannes Faure is employed by the FSP to render financial services on behalf of the FSP as a representative in Cape Town – 082 319 8747
   - James Richard Booth is employed by the FSP and is to render financial services under Supervision on behalf of the FSP in Cape Town – 074 246 1999

3. The FSP accepts responsibility for the actions of its key individuals, representatives and representatives under supervision in the rendering of the financial service involved.

4. The extent to which the client will have to accept any responsibility is set out in this and other documentation furnished to the client.

5. The name and contact details of the compliance officer of the FSP:

Financial Services Compliance CC t/a Compliance Consulting

| | |
|---|---|
| FSB reg no.: | CO 325 |
| Compliance Officer: | Greta Maritz |
| Telephone number: | 082 901 4269 |
| E-mail address: | info@complianceconsulting.co.za |
| Website address: | www.complianceconsulting.co.za |

6. Details of the financial services which the FSP is authorised to provide in terms of its licence:

| | | | | |
|---|---|---|---|---|
| **1** | | **CATEGORY I** | | |
| | | | **Advice** | **Intermediary** |
| 1 | 1 | Long-Term Insurance : Category A | X | X |
| 1 | 3 | Long-Term Insurance : Category B1 | X | X |
| 1 | 20 | Long-Term Insurance : Category B2 | | X |
| 1 | 4 | Long-Term Insurance : Category C | X | X |
| 1 | 5 | Retail Pension Benefits | X | X |
| 1 | 7 | Pension Funds Benefits (excluding retail pension benefits) | X | X |
| 1 | 8 | Securities and Instruments : Shares | X | X |
| 1 | 9 | Securities and Instruments : Money Market Instruments | X | X |
| 1 | 10 | Securities and Instruments : Debentures and Securitised Debt | X | X |
| 1 | 11 | Securities and Instruments : Warrants, Certificates and other Instruments | X | X |
| 1 | 12 | Securities and Instruments : Bonds | | X |
| 1 | 13 | Securities and Instruments : Derivative Instruments | | X |
| 1 | 14 | Participatory interests in Collective Investment Schemes | | X |
| 1 | 17 | Deposits defined in the Banks Act – Exceeding 12 Months | | X |
| 1 | 18 | Deposits defined in the Banks Act – 12 Months or less | | X |
| **2** | | **CATEGORY II** | | |
| | | | **Advice** | **Intermediary** |
| 2 | 1 | Long-Term Insurance : Category B1 | | X |
| 2 | 15 | Long-Term Insurance : Category B2 | | X |
| 2 | 16 | Long-Term Insurance : Category B2 - A | | X |
| 2 | 17 | Long-Term Insurance : Category B1 - A | | X |
| 2 | 2 | Long-Term Insurance : Category C | | X |
| 2 | 3 | Retail Pension Benefits | | X |
| 2 | 4 | Pension Funds Benefits (excluding retail pension benefits) | | X |
| 2 | 5 | Securities and Instruments : Shares | | X |
| 2 | 6 | Securities and Instruments : Money Market Instruments | | X |
| 2 | 7 | Securities and Instruments : Debentures and Securitised Debt | | X |
| 2 | 8 | Securities and Instruments : Warrants, Certificates and other Instruments | | X |
| 2 | 9 | Securities and Instruments : Bonds | | X |
| 2 | 10 | Securities and Instruments : Derivative Instruments | | X |
| 2 | 11 | Participatory interests in Collective Investment Schemes | | X |

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7. The product suppliers the FSP has contractual relationships with:
    - *Investec*
    - *Sanlam*
    - *Independent Securities*
    - *SCM DMA*
    - *Allan Gray*
    - *Momentum Wealth*
    - *Momentum Securities*
    - *Sanlam Glacier*
    - *Ninety One*
    - *Old Mutual*
    - *Credo*